

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail to an addressee addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 4/12/99

Casey Hagopian
Casey Hagopian

APR 16 1999

RECEIVED

APR 19 1999

TECHNOLOGY CENTER 3700

PATENT #18

Attorney Docket No. 17616-705

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Sharkey et al.

Application No.: 08/714,987

Filed: September 17, 1996

Title: METHOD AND APPARATUS FOR CONTROLLED
CONTRACTION OF TISSUE

) PATENT APPLICATION

)

) Art Unit: 3739

)

) Examiner: D. Shay

)

)

)

)

)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

RECEIVED

APR 19 1999

TECHNOLOGY CENTER
3700

— This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- (1) It is being filed within 3 months of the application filing date
-- OR --
- (2) It is being filed within 3 months of entry of a national stage
-- OR --
- (3) It is being filed before the mail date of the first Office Action on the merits.

X 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

X a certification as specified in §1.97(e) is provided below; or

— a fee of \$240.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

— 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

X **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$ 0 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 17616-705).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Date: 4/18/99

By: 

John J. Bruckner
Reg. No. 35,816

650 Page Mill Road
Palo Alto, CA 94304-1050
(650) 493-9300

Attorney Docket No.: 17616-705



CERTIFICATION

RECEIVED

APR 19 1999

TECHNOLOGY CENTER 3700

(Attachment to Information Disclosure Statement)

- 37 C.F.R. §1.97(e)(1). APPLICANT'S UNDERSIGNED ATTORNEY HEREBY CERTIFIES THAT each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement; or
- X 37 C.F.R. §1.97(e)(2). APPLICANT'S UNDERSIGNED ATTORNEY HEREBY CERTIFIES THAT no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

Date: 4/8/99

By: John J. Bruckner

John J. Bruckner
Reg. No. 35,816

650 Page Mill Road
Palo Alto, CA 94304-1050
(650) 493-9300